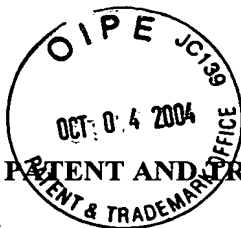


Docket No. 253871US0PCT



DT14 Re  C1/PTO <sup>#3</sup> 04 OCT 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Thomas BECKERT, et al.

SERIAL NO: 10/501,236

GAU:

FILED: July 12, 2004

EXAMINER:

FOR: PHARMACEUTICAL FORMULATION FOR THE ACTIVE INGREDIENT BUDESONIDE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon



**Roland E. Martin**  
Registration No. 48,082

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>253871US0PCT</b>		SERIAL NO. <b>10/501,236</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Thomas BECKERT, et al.</b>			
				FILING DATE <b>July 12, 2004</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,063,399	05-16-00	ASSMUS et al.			
	AB	5,993,849	11-30-99	ASSMUS et al.			
	AC	6,576,255	06-10-03	PETEREIT et al.			
	AD	6,632,454	10-14-03	BECKERT et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
0583-0282-0	6,063,399	05/16/00	ASSMUS, et al.
0583-0283-0	5,993,849	11/30/99	ASSMUS, et al.
0583-0411-0 CONT	09/515,098	02/29/00	ASSMUS, et al.
201839US0 PCT	09/787,438	04/12/01	PETEREIT, et al.
205933US0 PCT	6,576,255	06/10/03	PETEREIT, et al.
211892US0 PCT	09/913,720	08/31/01	PETEREIT, et al.
214948US0 PCT	6,632,454	10/14/03	BECKERT, et al.
225848US0 PCT	10/239,187	09/26/02	PETEREIT, et al.
232280US0 PCT	10/333,930	02/05/03	PETEREIT, et al.
253871US0 PCT*	10/501,236	07/12/04	BECKERT, et al.

\*Present Application; listed for information  
NFO/wal/amr